

# Efficient CTL Model-Checking for Pushdown Systems<sup>★</sup>

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**Abstract.** Pushdown systems (PDS) are well adapted to model sequential programs with (possibly recursive) procedure calls. Therefore, it is important to have efficient model checking algorithms for PDSs. We consider in this paper CTL model checking for PDSs. We consider the “standard” CTL model checking problem where whether a configuration of a PDS satisfies an atomic proposition or not depends only on the control state of the configuration. We consider also CTL model checking with regular valuations, where the set of configurations in which an atomic proposition holds is a regular language. We reduce these problems to the emptiness problem in Alternating Büchi Pushdown Systems, and we give an algorithm to solve this emptiness problem. Our algorithms are more efficient than the other existing algorithms for CTL model checking for PDSs in the literature. We implemented our techniques in a tool, and we applied it to different case studies. Our results are encouraging. In particular, we were able to find bugs in linux source code.

## 1 Introduction

PushDown Systems (PDS for short) are an adequate formalism to model sequential, possibly recursive, programs [10, 13]. It is then important to have verification algorithms for pushdown systems. This problem has been intensively studied by the verification community. Several model-checking algorithms have been proposed for both linear-time logics [1, 13, 9, 14, 17], and branching-time logics [1, 2, 6, 24, 18, 19, 14, 17].

In this paper, we study the CTL model-checking problem for PDSs. First, we consider the “standard” model-checking problem as was considered in the literature. In this setting, whether a configuration satisfies an atomic proposition or not depends only on the control state of the configuration, not on its stack content. This problem is known to be EXPTIME-complete [25]. CTL corresponds to a fragment of the alternation-free  $\mu$ -calculus and of CTL\*. Existing algorithms for model-checking these logics for PDSs could then be applied for CTL model-checking. However, these algorithms either allow only to decide whether a given configuration satisfies the formula i.e., they cannot compute all the set of PDS configurations where the formula holds [5, 6, 24, 18], or have a high complexity [19, 2, 1, 12, 11, 14, 17]. Moreover, these algorithms have not been implemented due to their high complexity. Thus, there does not exist a tool for CTL model-checking of PDSs.

In this work, we propose a new efficient algorithm for CTL-model checking for PDSs. Our algorithm allows to compute the set of PDS configurations that satisfy a given CTL

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formula. Our procedure is more efficient than the existing model-checking algorithms for  $\mu$ -calculus and CTL\* that are able to compute the set of configurations where a given property holds [19, 2, 1, 12, 11, 14, 17]. Our technique reduces CTL model-checking to the problem of computing the set of configurations from which an Alternating Büchi Pushdown System (ABPDS for short) has an accepting run. We show that this set can be effectively represented using an alternating finite automaton.

Then, we consider CTL model checking with regular valuations. In this setting, the set of configurations where an atomic proposition holds is given by a finite state automaton. Indeed, since a configuration of a PDS has a control state and a stack content, it is natural that the validity of an atomic proposition in a configuration depends on both the control state *and the stack*. For example, in one of the case studies we considered, we needed to check that whenever a function `call_hpsb_send_phy_config` is invoked, there is a path where `call_hpsb_send_packet` is called before `call_hpsb_send_phy_config` returns. We need propositions about the stack to express this property. “Standard” CTL is not sufficient. We provide an efficient algorithm that solves CTL model checking with regular valuations for PDSs. Our procedure reduces the model-checking problem to the problem of computing the set of configurations from which an ABPDS has an accepting run.

We implemented our techniques in a tool for CTL model-checking for pushdown systems. Our tool deals with both “standard” model-checking, and model-checking with regular valuations. As far as we know, this is the *first* tool for CTL model-checking for PDSs. Indeed, existing model-checking tools for PDSs like Moped [21] consider only reachability and LTL model-checking, they don’t consider CTL. We run several experiments on our tool. We obtained encouraging results. In particular, we were able to find bugs in source files of the linux system, in a watchdog driver of linux, and in an IEEE 1394 driver of linux. We needed regular valuations to express the properties of some of these examples.

**Outline.** The rest of the paper is structured as follows. Section 2 gives the basic definitions used in the paper. In section 3, we present an algorithm for computing an alternating automaton recognizing all the configurations from which an ABPDS has an accepting run. Sections 4 and 5 describe the reductions from “standard” CTL model-checking for PDSs and CTL model-checking for PDSs with regular valuations, to the emptiness problem in ABPDS. The experiments are provided in Section 6. Section 7 describes the related work.

## 2 Preliminaries

### 2.1 The temporal logic CTL

We consider the standard branching-time temporal logic CTL. For technical reasons, we use the operator  $\tilde{U}$  as a dual of the until operator for which the stop condition is not required to occur; and we suppose w.l.o.g. that formulas are given in positive normal form, i.e., negations are applied only to atomic propositions. Indeed, each CTL formula can be written in positive normal form by pushing the negations inside.

**Definition 1.** Let  $AP = \{a, b, c, \dots\}$  be a finite set of atomic propositions. The set of CTL formulas is given by (where  $a \in AP$ ):

$$\varphi ::= a \mid \neg a \mid \varphi \wedge \psi \mid \varphi \vee \psi \mid AX\varphi \mid EX\varphi \mid A[\varphi U\psi] \mid E[\varphi U\psi] \mid A[\varphi \tilde{U}\psi] \mid E[\varphi \tilde{U}\psi].$$

The closure  $cl(\varphi)$  of a CTL formula  $\varphi$  is the set of all the subformulas of  $\varphi$ , including  $\varphi$ . Let  $AP^+(\varphi) = \{a \in AP \mid a \in cl(\varphi)\}$  and  $AP^-(\varphi) = \{a \in AP \mid \neg a \in cl(\varphi)\}$ . The size  $|\varphi|$  of  $\varphi$  is the number of elements in  $cl(\varphi)$ . Let  $T = (S, \longrightarrow, c_0)$  be a transition system where  $S$  is a set of states,  $\longrightarrow \subseteq S \times S$  is a set of transitions, and  $c_0$  is the initial state. Let  $s, s' \in S$ .  $s'$  is a successor of  $s$  iff  $s \longrightarrow s'$ . A path is a sequence of states  $s_0, s_1, \dots$  such that for every  $i \geq 0$ ,  $s_i \longrightarrow s_{i+1}$ . Let  $\lambda : AP \rightarrow 2^S$  be a labelling function that assigns to each atomic proposition a set of states in  $S$ . The validity of a formula  $\varphi$  in a state  $s$  w.r.t. the labelling function  $\lambda$ , denoted  $s \models_\lambda \varphi$ , is defined inductively in **Figure 1**.  $T \models_\lambda \varphi$  iff  $c_0 \models_\lambda \varphi$ . Note that a path  $\pi$  satisfies  $\psi_1 \tilde{U} \psi_2$  iff either  $\psi_2$  holds everywhere in  $\pi$ , or the first occurrence in the path where  $\psi_2$  does not hold must be preceded by a position where  $\psi_1$  holds.

$s \models_\lambda a$	$\iff s \in \lambda(a).$
$s \models_\lambda \neg a$	$\iff s \notin \lambda(a).$
$s \models_\lambda \psi_1 \wedge \psi_2$	$\iff s \models_\lambda \psi_1$ and $s \models_\lambda \psi_2.$
$s \models_\lambda \psi_1 \vee \psi_2$	$\iff s \models_\lambda \psi_1$ or $s \models_\lambda \psi_2.$
$s \models_\lambda AX \psi$	$\iff s' \models_\lambda \psi$ for every successor $s'$ of $s.$
$s \models_\lambda EX \psi$	$\iff$ There exists a successor $s'$ of $s$ s.t. $s' \models_\lambda \psi.$
$s \models_\lambda A[\psi_1 U \psi_2]$	$\iff$ For every path of $T, \pi = s_0, s_1, \dots,$ with $s_0 = s, \exists i \geq 0$ s.t. $s_i \models_\lambda \psi_2$ and $\forall 0 \leq j < i, s_j \models_\lambda \psi_1.$
$s \models_\lambda E[\psi_1 U \psi_2]$	$\iff$ There exists a path of $T, \pi = s_0, s_1, \dots,$ with $s_0 = s,$ s.t. $\exists i \geq 0, s_i \models_\lambda \psi_2$ and $\forall 0 \leq j < i, s_j \models_\lambda \psi_1.$
$s \models_\lambda A[\psi_1 \tilde{U} \psi_2]$	$\iff$ For every path of $T, \pi = s_0, s_1, \dots,$ with $s_0 = s, \forall i \geq 0$ s.t. $s_i \not\models_\lambda \psi_2,$ $\exists 0 \leq j < i,$ s.t. $s_j \models_\lambda \psi_1.$
$s \models_\lambda E[\psi_1 \tilde{U} \psi_2]$	$\iff$ There exists a path of $T, \pi = s_0, s_1, \dots,$ with $s_0 = s,$ s.t. $\forall i \geq 0$ s.t. $s_i \not\models_\lambda \psi_2,$ $\exists 0 \leq j < i$ s.t. $s_j \models_\lambda \psi_1.$

**Fig. 1.** Semantics of CTL

## 2.2 PushDown Systems

**Definition 2.** A *PushDown System* (PDS for short) is a tuple  $\mathcal{P} = (P, \Gamma, \Delta, \#)$ , where  $P$  is a finite set of control locations,  $\Gamma$  is the stack alphabet,  $\Delta \subseteq (P \times \Gamma) \times (P \times \Gamma^*)$  is a finite set of transition rules and  $\# \in \Gamma$  is a bottom stack symbol.

A configuration of  $\mathcal{P}$  is an element  $\langle p, \omega \rangle$  of  $P \times \Gamma^*$ . We write  $\langle p, \gamma \rangle \hookrightarrow \langle q, \omega \rangle$  instead of  $((p, \gamma), (q, \omega)) \in \Delta$ . For technical reasons, we consider the bottom stack symbol  $\#$ , and we assume w.l.o.g. that it is never popped from the stack, i.e., there is no transition rule of the form  $\langle p, \# \rangle \hookrightarrow \langle q, \omega \rangle \in \Delta$ . The successor relation  $\rightsquigarrow_{\mathcal{P}} \subseteq (P \times \Gamma^*) \times (P \times \Gamma^*)$  is defined as follows: if  $\langle p, \gamma \rangle \hookrightarrow \langle q, \omega \rangle$ , then  $\langle p, \gamma \omega' \rangle \rightsquigarrow_{\mathcal{P}} \langle q, \omega \omega' \rangle$  for every  $\omega' \in \Gamma^*$ .

Let  $c$  be a given initial configuration of  $\mathcal{P}$ . Starting from  $c$ ,  $\mathcal{P}$  induces the transition system  $T_{\mathcal{P}}^c = (P \times \Gamma^*, \rightsquigarrow_{\mathcal{P}}, c)$ . Let  $AP$  be a set of atomic propositions,  $\varphi$  be a CTL formula on  $AP$ , and  $\lambda : AP \rightarrow 2^{P \times \Gamma^*}$  be a labelling function. We say that  $(\mathcal{P}, c) \models_\lambda \varphi$  iff  $T_{\mathcal{P}}^c \models_\lambda \varphi$ .

### 2.3 Alternating Büchi PushDown Systems

**Definition 3.** An Alternating Büchi PushDown System (ABPDS for short) is a tuple  $\mathcal{BP} = (P, \Gamma, \Delta, F)$ , where  $P$  is a finite set of control locations,  $\Gamma$  is the stack alphabet,  $F \subseteq P$  is a finite set of accepting control locations and  $\Delta$  is a function that assigns to each element of  $P \times \Gamma$  a positive boolean formula over  $P \times \Gamma^*$ .

A configuration of an ABPDS is a pair  $\langle p, \omega \rangle$ , where  $p \in P$  is a control location and  $\omega \in \Gamma^*$  is the stack content. We assume w.l.o.g. that the boolean formulas are in disjunctive normal form. This allows to consider  $\Delta$  as a subset of  $(P \times \Gamma) \times 2^{P \times \Gamma^*}$ . Thus, rules of  $\Delta$  of the form<sup>1</sup>  $\langle p, \gamma \rangle \hookrightarrow \bigvee_{j=1}^n \bigwedge_{i=1}^{m_j} \langle p_i^j, \omega_i^j \rangle$  can be denoted by the union of  $n$  rules of the form  $\langle p, \gamma \rangle \hookrightarrow \{\langle p_1^j, \omega_1^j \rangle, \dots, \langle p_{m_j}^j, \omega_{m_j}^j \rangle\}$ , where  $1 \leq j \leq n$ . Let  $t = \langle p, \gamma \rangle \hookrightarrow \{\langle p_1, \omega_1 \rangle, \dots, \langle p_n, \omega_n \rangle\}$  be a rule of  $\Delta$ . For every  $\omega \in \Gamma^*$ , the configuration  $\langle p, \gamma\omega \rangle$  (resp.  $\{\langle p_1, \omega_1\omega \rangle, \dots, \langle p_n, \omega_n\omega \rangle\}$ ) is an immediate predecessor (resp. successor) of  $\{\langle p_1, \omega_1\omega \rangle, \dots, \langle p_n, \omega_n\omega \rangle\}$  (resp.  $\langle p, \gamma\omega \rangle$ ).

A run  $\rho$  of  $\mathcal{BP}$  from an initial configuration  $\langle p_0, \omega_0 \rangle$  is a tree in which the root is labeled by  $\langle p_0, \omega_0 \rangle$ , and the other nodes are labeled by elements of  $P \times \Gamma^*$ . If a node of  $\rho$  is labeled by  $\langle p, \omega \rangle$  and has  $n$  children labeled by  $\langle p_1, \omega_1 \rangle, \dots, \langle p_n, \omega_n \rangle$ , respectively, then necessarily,  $\langle p, \omega \rangle$  has  $\{\langle p_1, \omega_1 \rangle, \dots, \langle p_n, \omega_n \rangle\}$  as an immediate successor in  $\mathcal{BP}$ . A path  $c_0 c_1 \dots$  of a run  $\rho$  is an *infinite* sequence of configurations such that  $c_0$  is the root of  $\rho$  and for every  $i \geq 0$ ,  $c_{i+1}$  is one of the children of the node  $c_i$  in  $\rho$ . The path is accepting from the initial configuration  $c_0$  if and only if it visits infinitely often configurations with control locations in  $F$ . A run  $\rho$  is accepting if and only if all its paths are accepting. Note that an accepting run has only *infinite* paths; it does not involve finite paths. A configuration  $c$  is accepted (or recognized) by  $\mathcal{BP}$  iff  $\mathcal{BP}$  has an accepting run starting from  $c$ . The language of  $\mathcal{BP}$ ,  $\mathcal{L}(\mathcal{BP})$  is the set of configurations accepted by  $\mathcal{BP}$ .

The reachability relation  $\Longrightarrow_{\mathcal{BP}} \subseteq (P \times \Gamma^*) \times 2^{P \times \Gamma^*}$  is the reflexive and transitive closure of the immediate successor relation. Formally  $\Longrightarrow_{\mathcal{BP}}$  is defined as follows: (1)  $c \Longrightarrow_{\mathcal{BP}} \{c\}$  for every  $c \in P \times \Gamma^*$ , (2)  $c \Longrightarrow_{\mathcal{BP}} C$  if  $C$  is an immediate successor of  $c$ , (3) if  $c \Longrightarrow_{\mathcal{BP}} \{c_1, \dots, c_n\}$  and  $c_i \Longrightarrow_{\mathcal{BP}} C_i$  for every  $1 \leq i \leq n$ , then  $c \Longrightarrow_{\mathcal{BP}} \bigcup_{i=1}^n C_i$ .

The functions  $Pre_{\mathcal{BP}}$ ,  $Pre_{\mathcal{BP}}^*$  and  $Pre_{\mathcal{BP}}^+ : 2^{P \times \Gamma^*} \rightarrow 2^{P \times \Gamma^*}$  are defined as follows:  $Pre_{\mathcal{BP}}(C) = \{c \in P \times \Gamma^* \mid \exists C' \subseteq C \text{ s.t. } C' \text{ is an immediate successor of } c\}$ , (2)  $Pre_{\mathcal{BP}}^*(C) = \{c \in P \times \Gamma^* \mid \exists C' \subseteq C \text{ s.t. } c \Longrightarrow_{\mathcal{BP}} C'\}$ , (3)  $Pre_{\mathcal{BP}}^+(C) = Pre_{\mathcal{BP}} \circ Pre_{\mathcal{BP}}^*(C)$ .

To represent (infinite) sets of configurations of ABPDSs, we use Alternating Multi-Automata:

**Definition 4.** [1] Let  $\mathcal{BP} = (P, \Gamma, \Delta, F)$  be an ABPDS. An Alternating Multi-Automaton (AMA for short) is a tuple  $\mathcal{A} = (Q, \Gamma, \delta, I, Q_f)$ , where  $Q$  is a finite set of states that contains  $P$ ,  $\Gamma$  is the input alphabet,  $\delta \subseteq (Q \times \Gamma) \times 2^Q$  is a finite set of transition rules,  $I \subseteq P$  is a finite set of initial states,  $Q_f \subseteq Q$  is a finite set of final states.

A Multi-Automaton (MA for short) is an AMA such that  $\delta \subseteq (Q \times \Gamma) \times Q$ .

We define the reflexive and transitive transition relation  $\longrightarrow_{\delta} \subseteq (Q \times \Gamma^*) \times 2^Q$  as follows: (1)  $q \xrightarrow{\epsilon}_{\delta} \{q\}$  for every  $q \in Q$ , where  $\epsilon$  is the empty word, (2)  $q \xrightarrow{\gamma}_{\delta} Q'$ , if  $q \xrightarrow{\gamma} Q' \in \delta$ ,

<sup>1</sup> This rule represents  $\Delta(p, \gamma) = \bigvee_{j=1}^n \bigwedge_{i=1}^{m_j} (p_i^j, \omega_i^j)$ .

(3) if  $q \xrightarrow{\omega}_\delta \{q_1, \dots, q_n\}$  and  $q_i \xrightarrow{\gamma}_\delta Q_i$  for every  $1 \leq i \leq n$ , then  $q \xrightarrow{\omega\gamma}_\delta \bigcup_{i=1}^n Q_i$ . The automaton  $\mathcal{A}$  recognizes a configuration  $\langle p, \omega \rangle$  iff there exists  $Q' \subseteq Q_f$  such that  $p \xrightarrow{\omega}_\delta Q'$  and  $p \in I$ . The language of  $\mathcal{A}$ ,  $L(\mathcal{A})$ , is the set of configurations recognized by  $\mathcal{A}$ . A set of configurations is regular if it can be recognized by an AMA. It is easy to show that AMAs are closed under boolean operations and that they are equivalent to MAs. Given an AMA, one can compute an equivalent MA by performing a kind of powerset construction as done for the determinisation procedure. Similarly, MAs can also be used to recognize (infinite) regular sets of configurations for PDSs.

**Proposition 1.** *Let  $\mathcal{A} = (Q, \Gamma, \delta, I, Q_f)$  be an AMA. Deciding whether a configuration  $\langle p, \omega \rangle$  is accepted by  $\mathcal{A}$  can be done in  $O(|Q| \cdot |\delta| \cdot |\omega|)$  time.*

### 3 Computing the language of an ABPDS

Our goal in this section is to compute the set of accepting configurations of an Alternating Büchi PushDown System  $\mathcal{BP} = (P, \Gamma, \Delta, F)$ . We show that it is regular and that it can effectively be represented by an AMA. Determining whether  $\mathcal{BP}$  has an accepting run is a non-trivial problem because a run of  $\mathcal{BP}$  is an *infinite* tree with an infinite number of paths labelled by PDS configurations, which are control states and stack contents. All the paths of an accepting run are infinite and should all go through final control locations infinitely often. The difficulty comes from the fact that we cannot reason about the different paths of an ABPDS independently, we need to reason about *runs labeled with PDS configurations*. We proceed as follows: First, we characterize the set of configurations from which  $\mathcal{BP}$  has an accepting run. Then, based on this characterization, we compute an AMA representing this set.

#### 3.1 Characterizing $\mathcal{L}(\mathcal{BP})$

We give in this section a characterization of  $\mathcal{L}(\mathcal{BP})$ , i.e., the set of configurations from which  $\mathcal{BP}$  has an accepting run. Let  $(X_i)_{i \geq 0}$  be the sequence defined as follows:  $X_0 = P \times \Gamma^*$  and  $X_{i+1} = Pre^+(X_i \cap F \times \Gamma^*)$  for every  $i \geq 0$ . Let  $Y_{\mathcal{BP}} = \bigcap_{i \geq 0} X_i$ . We show that  $\mathcal{L}(\mathcal{BP}) = Y_{\mathcal{BP}}$ :

**Theorem 1.**  *$\mathcal{BP}$  has an accepting run from a configuration  $\langle p, \omega \rangle$  iff  $\langle p, \omega \rangle \in Y_{\mathcal{BP}}$ .*

To prove this result, we first show that:

**Lemma 1.**  *$\mathcal{BP}$  has a run  $\rho$  from a configuration  $\langle p, \omega \rangle$  such that each path of  $\rho$  visits configurations with control locations in  $F$  at least  $k$  times iff  $\langle p, \omega \rangle \in X_k$ .*

Intuitively, let  $c$  be a configuration in  $X_1$ . Since  $X_1 = Pre^+(X_0 \cap F \times \Gamma^*)$ ,  $c$  has a successor  $C$  that is a subset of  $F \times \Gamma^*$ . Thus,  $\mathcal{BP}$  has a run starting from  $c$  whose paths visit configurations with control locations in  $F$  at least once. Since  $X_2 = Pre^+(X_1 \cap F \times \Gamma^*)$ , it follows that from every configuration in  $X_2$ ,  $\mathcal{BP}$  has a run whose paths visit configurations in  $X_1 \cap F \times \Gamma^*$  at least once, and thus, they visit configurations with control locations in  $F$  at least twice. We get by induction that for every  $k \geq 1$ , from every configuration  $c$  in  $X_k$ ,  $\mathcal{BP}$  has a run whose paths visit configurations with control locations in  $F$  at least  $k$  times. Since  $Y_{\mathcal{BP}}$  is the set of configurations from which  $\mathcal{BP}$  has a run that visits control locations in  $F$  infinitely often, Theorem 1 follows.

### 3.2 Computing $\mathcal{L}(\mathcal{BP})$

Our goal is to compute  $Y_{\mathcal{BP}} = \bigcap_{i \geq 0} X_i$ , where  $X_0 = P \times \Gamma^*$  and for every  $i \geq 0$ ,  $X_{i+1} = \text{Pre}^+(X_i \cap F \times \Gamma^*)$ . We provide a saturation procedure that computes the set  $Y_{\mathcal{BP}}$ . Our procedure is inspired from the algorithm given in [7] to compute the winning region of a Büchi game on a pushdown graph.

We show that  $Y_{\mathcal{BP}}$  can be represented by an AMA  $\mathcal{A} = (\mathcal{Q}, \Gamma, \delta, I, \mathcal{Q}_f)$  whose set of states  $\mathcal{Q}$  is a subset of  $P \times \mathbb{N} \cup \{q_f\}$ , where  $q_f$  is a special state denoting the final state ( $\mathcal{Q}_f = \{q_f\}$ ). From now on, for every  $p \in P$  and  $i \in \mathbb{N}$ , we write  $p^i$  to denote  $(p, i)$ .

Intuitively, to compute  $Y_{\mathcal{BP}}$ , we will compute iteratively the different  $X_i$ 's by applying the saturation procedure of [1]. The iterative procedure computes different automata. The automaton computed during the iteration  $i$  uses states of the form  $p^i$  having  $i$  as index. To force termination, we use an acceleration criterion. For this, we need to define two projection functions  $\pi^{-1}$  and  $\pi^i$  defined as follows: For every  $S \subseteq P \times \mathbb{N} \cup \{q_f\}$ ,

$$\pi^{-1}(S) = \begin{cases} \{q^i \mid q^{i+1} \in S\} \cup \{q_f\} & \text{if } q_f \in S \text{ or } \exists q^1 \in S, \\ \{q^i \mid q^{i+1} \in S\} & \text{else.} \end{cases}$$

$$\pi^i(S) = \{q^i \mid \exists 1 \leq j \leq i \text{ s.t. } q^j \in S\} \cup \{q_f \mid q_f \in S\}.$$

The AMA  $\mathcal{A}$  is computed iteratively using **Algorithm 1**:

**Algorithm 1:** Computation of  $Y_{\mathcal{BP}}$

**Input:** An ABPDS  $\mathcal{BP} = (P, \Gamma, \Delta, F)$ .

**Output:** An AMA  $\mathcal{A} = (\mathcal{Q}, \Gamma, \delta, I, \mathcal{Q}_f)$  that recognizes  $Y_{\mathcal{BP}}$ .

1. **Initially:** Let  $i = 0$ ,  $\delta = \{(q_f, \gamma, \{q_f\}) \text{ for every } \gamma \in \Gamma\}$ , and for every control state  $p \in P$ ,  $p^0 = q_f$ .
2.     **Repeat** (we call this loop *loop*<sub>1</sub>)
3.          $i := i + 1$ ;
4.         Add in  $\delta$  a new transition rule  $p^i \xrightarrow{\epsilon} p^{i-1}$ , for every  $p \in F$ ;
5.         **Repeat** (we call this loop *loop*<sub>2</sub>)
6.             For every  $\langle p, \gamma \rangle \hookrightarrow \{\langle p_1, \omega_1 \rangle, \dots, \langle p_n, \omega_n \rangle\}$  in  $\Delta$
7.             and every case where  $p_k^i \xrightarrow{\omega_k} Q_k$ , for every  $1 \leq k \leq n$ ;
8.             Add a new rule  $p^i \xrightarrow{\gamma} \bigcup_{k=1}^n Q_k$  in  $\delta$ ;
9.         **Until** No new transition rule can be added.
10.         Remove from  $\delta$  the transition rules  $p^i \xrightarrow{\epsilon} p^{i-1}$ , for every  $p \in F$ ;
11.         Replace in  $\delta$  every transition rule  $p^i \xrightarrow{\gamma} R$  by  $p^i \xrightarrow{\gamma} \pi^i(R)$ , for every  $p \in P$ ,  $\gamma \in \Gamma$ ,  $R \subseteq \mathcal{Q}$ ;
12.     **Until**  $i > 1$  and for every  $p \in P$ ,  $\gamma \in \Gamma$ ,  $R \subseteq P \times \{i\} \cup \{q_f\}$ ;  $p^i \xrightarrow{\gamma} R \in \delta \iff p^{i-1} \xrightarrow{\gamma} \pi^{-1}(R) \in \delta$

Let us explain the intuition behind the different lines of this algorithm. Let  $A_i$  be the automaton obtained at step  $i$  (a step starts at Line 3). For every  $p \in P$ , the state  $p^i$  is meant to represent state  $p$  at step  $i$ , i.e.,  $A_i$  recognizes a configuration  $\langle p, \omega \rangle$  iff  $p^i \xrightarrow{\omega} q_f$ . Let  $A_0$  be the automaton obtained after the initialization step (Line 1). It is clear that  $A_0$  recognizes  $X_0 = P \times \Gamma^*$ . Suppose now that the algorithm is at the beginning of the  $i$ -th iteration (*loop*<sub>1</sub>). Line 4 adds the  $\epsilon$ -transition  $p^i \xrightarrow{\epsilon} p^{i-1}$  for every control state  $p \in F$ . After this step, we obtain  $L(A_{i-1}) \cap F \times \Gamma^*$ . *loop*<sub>2</sub> at lines 5 – 9 is the saturation

procedure of [1]. It computes the  $Pre^*$  of  $L(A_{i-1}) \cap F \times \Gamma^*$ . Line 10 removes the  $\epsilon$ -transition added by Line 4. After this step, the automaton recognizes  $Pre^+(L(A_{i-1}) \cap F \times \Gamma^*)$ , i.e.,  $X_i$ . Let us call **Algorithm B** the above algorithm without Line 11. It follows from the explanation above that if **Algorithm B** terminates, it will produce  $Y_{\mathcal{BP}}$ . However, this procedure will never terminate if the sequence  $(X_i)$  is strictly decreasing. Consider for example the ABPDS  $\mathcal{BP} = (\{q\}, \{\gamma\}, \Delta, \{q\})$ , where  $\Delta = \{\langle q, \gamma \rangle \hookrightarrow \langle q, \epsilon \rangle\}$ . Then, for every  $i \geq 0$ ,  $X_i = \{\langle q, \gamma^i \omega \mid \omega \in \gamma^* \rangle\}$ . It is clear that **Algorithm B** will never terminate on this example.

The substitution at Line 11 is the acceleration used to force the termination of the algorithm, tested at Line 12. We can show that thanks to Line 11 and to the test of Line 12, our algorithm always terminates and produces  $Y_{\mathcal{BP}}$ :

**Theorem 2.** *Algorithm 1 always terminates and produces  $Y_{\mathcal{BP}}$ .*

**Proof (Sketch): Termination.** Let us first prove the termination of our procedure. Note that due to the substitution of Line 11, at the end of step  $i$ , states with index  $j < i$  are not useful and can be removed. We can then suppose that at the end of step  $i$ , the automaton  $A_i$  uses only states of index  $i$  (in addition to state  $q_f$ ). Thus, the termination tested at Line 12 holds when at step  $i$ , the transitions of  $A_i$  are “the same” than those of  $A_{i-1}$ .

We can show that at each step  $i$ ,  $loop_2$  (corresponding to the saturation procedure) adds less transitions than at step  $i - 1$ , meaning that  $A_i$  has less transitions than  $A_{i-1}$ . Intuitively, this is due to the fact that at step  $i$ , we obtain after the saturation procedure  $Pre^+(L(A_{i-1}) \cap F \times \Gamma^*)$ . Since  $Pre^+$  is monotonic, and since we start at step 0 with an automaton  $A_0$  that recognizes all the configurations  $P \times \Gamma^*$ , we get that for  $i > 0$ ,  $L(A_i) \subseteq L(A_{i-1})$ . More precisely, we can show by induction on  $i$  that:

**Proposition 2.** *In Algorithm 1, for every  $\gamma \in \Gamma$ ,  $p \in P, S \subseteq Q$ ; at each step  $i \geq 2$ , if  $p^i \xrightarrow{\gamma} S \in \delta$ , then  $p^{i-1} \xrightarrow{\gamma} \pi^i(S) \in \delta$ .*

Thus, the substitution of Line 11 guarantees that at each step, the number of transitions of the automaton  $A_i$  is less than the number of transitions of  $A_{i-1}$ . Since the number of transitions that can be added at each step is finite, and since the termination criterion of Line 12 holds if the transitions of  $A_i$  are “the same” than those of  $A_{i-1}$ , the termination of our algorithm is guaranteed.

**Correctness.** Let us now prove that our algorithm is correct, i.e., it produces  $Y_{\mathcal{BP}}$ . As mentioned previously, without Line 11, the algorithm above would have computed the different  $X_i$ 's. Since  $Y_{\mathcal{BP}} = \bigcap_{i \geq 0} X_i$ , we need to show that Line 11 does not introduce new configurations that are not in  $Y_{\mathcal{BP}}$ , nor remove ones that should be in  $Y_{\mathcal{BP}}$ .

Suppose we are at step  $i$ , and let  $p \in P$ ,  $\gamma \in \Gamma$ , and  $R \subseteq Q$  be such that Line 11 adds the transition  $p^i \xrightarrow{\gamma} \pi^i(R)$  and removes the transition  $p^i \xrightarrow{\gamma} R$ . This substitution adds a new transition iff  $R$  contains at least one state of the form  $q^{i-1}$  (otherwise,  $\pi^i(R) = R$  and Line 11 does not introduce any change for this transition). Let then  $S \subseteq Q$  be such  $R = S \cup \{q^{i-1}\}$ . Let us first show that this substitution does not introduce new configurations. Let  $u \in \Gamma^*$  such that  $p^i \xrightarrow{\gamma} \pi^i(R) \xrightarrow{u} q_f$  is a new accepting run of the automaton. Then, due to Proposition 2, we can show that there exists already (before the substitution) a run  $p^i \xrightarrow{\gamma} R \xrightarrow{u} q_f$  in the automaton that accepts the configuration  $\langle p, \gamma u \rangle$ .

Let us now show that the substitution above does not remove configurations that are in  $Y_{\mathcal{BP}}$ . Let  $\langle p, \omega \rangle$  be a configuration removed by the substitution above, i.e.,  $\langle p, \omega \rangle$  is no more recognized by  $A_i$  due to the fact that  $p^i \xrightarrow{\gamma} R$  is removed. We show that  $\langle p, \omega \rangle$  cannot be in  $Y_{\mathcal{BP}}$ . Let  $v \in \Gamma^*$  such that  $\omega = \gamma v$  and  $\rho = p^i \xrightarrow{\gamma} q^{i-1} \cup S \xrightarrow{v} \{q_f\}$  is a run accepting  $\langle p, \omega \rangle$  whereas there is no run of the form  $q^i \xrightarrow{v} \{q_f\}$ . Suppose for simplicity that  $\rho$  is the only run recognizing  $\langle p, \omega \rangle$ , the same reasoning can also be applied if this is not the case. Since  $p^i \xrightarrow{\gamma} q^{i-1} \cup S$ , we can show that there exist states  $q_1, \dots, q_n$ , and words  $\omega_1, \dots, \omega_n$  such that  $\langle p, \gamma \rangle \Rightarrow_{\mathcal{BP}} \{\langle q, \epsilon \rangle, \langle q_1, \omega_1 \rangle, \dots, \langle q_n, \omega_n \rangle\}$ . Then, due to the fact that  $\langle p, \omega \rangle$  is removed from the automaton and that  $\rho$  is the only path accepting  $\langle p, \omega \rangle$ , we can show that all the possible runs from the configuration  $\langle p, \omega \rangle$  go through the configuration  $\langle q, v \rangle$ . Since  $\langle q, v \rangle \notin Y_{\mathcal{BP}}$  (because there is no run of the form  $q^i \xrightarrow{v} \{q_f\}$ ),  $\mathcal{BP}$  has no accepting run from the configuration  $\langle q, v \rangle$ . It follows that  $\mathcal{BP}$  cannot have an accepting run from  $\langle p, \omega \rangle$ .  $\square$

**Complexity:** Given an AMA  $A$  with  $n$  states such that  $A$  has  $P$  as initial set of states, [23] provides a procedure that can implement the saturation procedure  $loop_2$  to compute the  $Pre^*$  of  $A$  in time  $O(n \cdot |\Delta| \cdot 2^{2^n})$ . Since at each step  $i$ , **Algorithm 1** needs to consider only states of the form  $p^i$  and  $p^{i-1}$  (in addition to  $q_f$ ), the number of states at each step  $i$  should be  $2|P| + 1$ . Thus,  $loop_2$  can be done in  $O(|P| \cdot |\Delta| \cdot 2^{4|P|})$ . Furthermore, Line 11 and the termination condition are done in time  $O(|\Gamma| \cdot |P| \cdot 2^{2|P|})$  and  $O(|\Gamma| \cdot |P| \cdot 2^{|P|})$ , respectively. We know that the number of transition rules of  $A_i$  is less than those of  $A_{i-1}$ . Since the number of transition rules of the AMA is at most  $|\Gamma| \cdot |P| \cdot 2^{|P|+1}$ ,  $loop_1$  can be done at most  $|\Gamma| \cdot |P| \cdot 2^{|P|+1}$  times. Putting all these estimations together, the algorithm runs in  $O(|P|^2 \cdot |\Delta| \cdot |\Gamma| \cdot 2^{5|P|})$  time.

Thus, since  $\mathcal{L}(\mathcal{BP}) = Y_{\mathcal{BP}}$ , we get :

**Theorem 3.** *Given an ABPDS  $\mathcal{BP} = (P, \Gamma, \Delta, F)$ , we can effectively compute an AMA  $\mathcal{A}$  with  $O(|P|)$  states and  $O(|P| \cdot |\Gamma| \cdot 2^{|P|})$  transition rules that recognizes  $\mathcal{L}(\mathcal{BP})$ . This AMA can be computed in time  $O(|P|^2 \cdot |\Delta| \cdot |\Gamma| \cdot 2^{5|P|})$ .*

**Example:** Let us illustrate our algorithm by an example. Consider an ABPDS  $\mathcal{BP} = (\{q\}, \{\gamma\}, \Delta, \{q\})$ , where  $\Delta = \{\langle q, \gamma \rangle \leftrightarrow \langle q, \epsilon \rangle\}$ . The automaton produced by **Algorithm 1** is shown in Figure 2. The dashed lines denote the transitions removed



**Fig. 2:** The result automaton.

by Lines 10 and 11. In the first iteration,  $t_1 = q^1 \xrightarrow{\epsilon} q_f$  is added by Line 4, the saturation procedure (lines 5 – 9) adds two transitions  $q^1 \xrightarrow{\gamma} q_f$  and  $q^1 \xrightarrow{\gamma} q^1$ . Then the transition  $t_1$  is removed by Line 10. In the second iteration,  $t_2 = q^2 \xrightarrow{\epsilon} q^1$  is added by Line 4. The saturation procedure adds the transitions  $t_3 = q^2 \xrightarrow{\gamma} q^1$  and  $q^2 \xrightarrow{\gamma} q^2$ . Finally,  $t_2$  is removed by Line 10 and  $t_3$  is replaced by  $q^2 \xrightarrow{\gamma} q^2$  (this transition already exists in the automaton). Now the termination condition is satisfied and the algorithm terminates. In this case,  $\mathcal{BP}$  has no accepting run.



**Efficient implementation of Algorithm 1.** We show that we can improve the complexity of Algorithm 1 as follows:

**Improvement 1.** For every  $q \in Q$  and  $\gamma \in \Gamma$ , if  $t_1 = q \xrightarrow{\gamma} Q_1$  and  $t_2 = q \xrightarrow{\gamma} Q_2$  are two transitions in  $\delta$  such that  $Q_1 \subseteq Q_2$ , then remove  $t_2$ . This means that if  $\mathcal{A}$  contains two transitions  $t_1 = p \xrightarrow{\gamma} \{q_1, q_2, q_3\}$  and  $t_2 = p \xrightarrow{\gamma} \{q_1, q_2\}$ , then we can remove  $t_1$  without changing the language of  $\mathcal{A}$ . Indeed, if a path  $q \xrightarrow{\omega} q_f$  uses the transition rule  $t_1$ , then there must be necessarily a path  $q \xrightarrow{\omega} q_f$  that uses the transition rule  $t_2$  instead of  $t_1$ .

**Improvement 2.** Each transition  $q^i \xrightarrow{\gamma} R$  added by the saturation procedure will be substituted by  $q^i \xrightarrow{\gamma} \pi^i(R)$  in Line 11. Transitions of the form  $q^i \xrightarrow{\gamma} \{q_1^i, q_1^{i-1}\} \cup R$  and  $q^i \xrightarrow{\gamma} \{q_1^{i-1}\} \cup R$  have the same substitution  $q^i \xrightarrow{\gamma} \{q_1^i\} \cup \pi^i(R)$ . We show that each transition  $q^i \xrightarrow{\gamma} \{q_1^i, q_1^{i-1}\} \cup R$  can be replaced by  $q^i \xrightarrow{\gamma} \{q_1^{i-1}\} \cup R$  in the saturation procedure (i.e., during *loop2*). Moreover, we show that if both  $t_1 = q^i \xrightarrow{\gamma} \{q_1^{i-1}, \dots, q_n^{i-1}\} \cup R$  and  $t_2 = q^i \xrightarrow{\gamma} \{q_1^i, \dots, q_n^i\} \cup R$  exist during *loop2*, then  $t_2$  can be removed. This is due to the fact that they both have the same substitution rule.

## 4 CTL Model-Checking for PushDown Systems

We consider in this section “standard” CTL model checking for pushdown systems as considered in the literature, i.e., the case where whether an atomic proposition holds for a given configuration  $c$  or not depends only on the control state of  $c$ , not on its stack. Let  $\mathcal{P} = (P, \Gamma, \Delta, \#)$  be a pushdown system,  $c_0$  its initial configuration,  $AP$  a set of atomic propositions,  $\varphi$  a CTL formula,  $f : AP \rightarrow 2^P$  a function that associates atomic propositions to sets of control states, and  $\lambda_f : AP \rightarrow 2^{P \times \Gamma^*}$  a labelling function such that for every  $a \in AP$ ,  $\lambda_f(a) = \{\langle p, \omega \rangle \mid p \in f(a), \omega \in \Gamma^*\}$ . We provide in this section an algorithm to determine whether  $(\mathcal{P}, c_0) \models_{\lambda_f} \varphi$ . We proceed as follows: Roughly speaking, we compute an Alternating Büchi PushDown System  $\mathcal{BP}$  that recognizes the set of configurations  $c$  such that  $(\mathcal{P}, c) \models_{\lambda_f} \varphi$ . Then  $(\mathcal{P}, c_0) \models_{\lambda_f} \varphi$  holds iff  $c_0 \in \mathcal{L}(\mathcal{BP})$ . This can be effectively checked due to Theorem 3 and Proposition 1.

Let  $\mathcal{BP}_\varphi = (P', \Gamma, \Delta', F)$  be the ABPDS defined as follows:  $P' = P \times cl(\varphi)$ ;  $F = \{\langle p, a \rangle \mid a \in cl(\varphi) \cap AP \text{ and } p \in f(a)\} \cup \{\langle p, \neg a \rangle \mid \neg a \in cl(\varphi), a \in AP \text{ and } p \notin f(a)\} \cup P \times cl_{\bar{U}}(\varphi)$ , where  $cl_{\bar{U}}(\varphi)$  is the set of formulas of  $cl(\varphi)$  of the form  $E[\varphi_1 \bar{U} \varphi_2]$  or  $A[\varphi_1 \bar{U} \varphi_2]$ ; and  $\Delta'$  is the smallest set of transition rules such that for every control location  $p \in P$ , every subformula  $\psi \in cl(\varphi)$ , and every  $\gamma \in \Gamma$ , we have:

1. if  $\psi = a$ ,  $a \in AP$  and  $p \in f(a)$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi], \gamma \rangle \in \Delta'$ ,
2. if  $\psi = \neg a$ ,  $a \in AP$  and  $p \notin f(a)$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi], \gamma \rangle \in \Delta'$ ,
3. if  $\psi = \psi_1 \wedge \psi_2$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_1], \gamma \rangle \wedge \langle [p, \psi_2], \gamma \rangle \in \Delta'$ ,
4. if  $\psi = \psi_1 \vee \psi_2$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_1], \gamma \rangle \vee \langle [p, \psi_2], \gamma \rangle \in \Delta'$ ,
5. if  $\psi = EX\psi_1$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \bigvee_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} \langle [p', \psi_1], \omega \rangle \in \Delta'$ ,
6. if  $\psi = AX\psi_1$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \bigwedge_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} \langle [p', \psi_1], \omega \rangle \in \Delta'$ ,
7. if  $\psi = E[\psi_1 U \psi_2]$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_2], \gamma \rangle \vee \bigvee_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} (\langle [p, \psi_1], \gamma \rangle \wedge \langle [p', \psi], \omega \rangle) \in \Delta'$ ,
8. if  $\psi = A[\psi_1 U \psi_2]$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_2], \gamma \rangle \vee \bigwedge_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} (\langle [p, \psi_1], \gamma \rangle \wedge \langle [p', \psi], \omega \rangle) \in \Delta'$ ,
9. if  $\psi = E[\psi_1 \bar{U} \psi_2]$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_2], \gamma \rangle \wedge (\langle [p, \psi_1], \gamma \rangle \vee \bigvee_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} \langle [p', \psi], \omega \rangle) \in \Delta'$ ,

10. if  $\psi = A[\psi_1 \tilde{U} \psi_2]; \langle [p, \psi], \gamma \rangle \leftrightarrow \langle [p, \psi_2], \gamma \rangle \wedge (\langle [p, \psi_1], \gamma \rangle \vee \bigwedge_{\langle p, \gamma \rangle \rightarrow \langle p', \omega \rangle \in \Delta} \langle [p', \psi], \omega \rangle) \in \Delta'$ .

The ABPDS  $\mathcal{BP}_\varphi$  above can be seen as the “product” of  $\mathcal{P}$  with the formula  $\varphi$ . Intuitively,  $\mathcal{BP}_\varphi$  has an accepting run from  $\langle [p, \psi], \omega \rangle$  if and only if the configuration  $\langle p, \omega \rangle$  satisfies  $\psi$ . Let us explain the intuition behind the different items defining  $\Delta'$ .

Let  $\psi = a \in AP$ . If  $p \in f(a)$  then for every  $\omega \in \Gamma^*$ ,  $\langle p, \omega \rangle$  satisfies  $\psi$ . Thus,  $\mathcal{BP}_\varphi$  should accept  $\langle [p, a], \omega \rangle$ , i.e., have an accepting run from  $\langle [p, a], \omega \rangle$ . This is ensured by Item 1 that adds a loop in  $\langle [p, a], \omega \rangle$ , and the fact that  $[p, a] \in F$ .

Let  $\psi = \neg a$ , where  $a \in AP$ . If  $p \notin f(a)$  then for every  $\omega \in \Gamma^*$ ,  $\langle p, \omega \rangle$  satisfies  $\psi$ . Thus,  $\mathcal{BP}_\varphi$  should accept  $\langle [p, \neg a], \omega \rangle$ , i.e., have an accepting run from  $\langle [p, \neg a], \omega \rangle$ . This is ensured by Item 2 and the fact that  $[p, \neg a] \in F$ .

Item 3 expresses that if  $\psi = \psi_1 \wedge \psi_2$ , then for every  $\omega \in \Gamma^*$ ,  $\mathcal{BP}_\varphi$  has an accepting run from  $\langle [p, \psi_1 \wedge \psi_2], \omega \rangle$  iff  $\mathcal{BP}_\varphi$  has an accepting run from  $\langle [p, \psi_1], \omega \rangle$  and  $\langle [p, \psi_2], \omega \rangle$ ; meaning that  $\langle p, \omega \rangle$  satisfies  $\psi$  iff  $\langle p, \omega \rangle$  satisfies  $\psi_1$  and  $\psi_2$ . Item 4 is similar to Item 3.

Item 5 means that if  $\psi = EX\psi_1$ , then for every  $\omega \in \Gamma^*$ ,  $\langle p, \omega \rangle$  satisfies  $\psi$  iff there exists an immediate successor  $\langle p', \omega' \rangle$  of  $\langle p, \omega \rangle$  such that  $\langle p', \omega' \rangle$  satisfies  $\psi_1$ . Thus,  $\mathcal{BP}_\varphi$  should have an accepting run from  $\langle [p, \psi], \omega \rangle$  iff it has an accepting run from  $\langle [p', \psi_1], \omega' \rangle$ . Similarly, item 6 states that if  $\psi = AX\psi_1$ , then for every  $\omega \in \Gamma^*$ ,  $\langle p, \omega \rangle$  satisfies  $\psi$  iff  $\langle p', \omega' \rangle$  satisfies  $\psi_1$  for every immediate successor  $\langle p', \omega' \rangle$  of  $\langle p, \omega \rangle$ .

Item 7 expresses that if  $\psi = E[\psi_1 U \psi_2]$ , then for every  $\omega \in \Gamma^*$ ,  $\langle p, \omega \rangle$  satisfies  $\psi$  iff either it satisfies  $\psi_2$ , or it satisfies  $\psi_1$  and there exists an immediate successor  $\langle p', \omega' \rangle$  of  $\langle p, \omega \rangle$  such that  $\langle p', \omega' \rangle$  satisfies  $\psi$ . Item 8 is similar to Item 7.

Item 9 expresses that if  $\psi = E[\psi_1 \tilde{U} \psi_2]$ , then for every  $\omega \in \Gamma^*$ ,  $\langle p, \omega \rangle$  satisfies  $\psi$  iff it satisfies  $\psi_2$ , and either it satisfies also  $\psi_1$ , or it has a successor that satisfies  $\psi$ . This guarantees that  $\psi_2$  holds either always, or until both  $\psi_1$  and  $\psi_2$  hold. The fact that the state  $[p, \psi]$  is in  $F$  ensures that paths where  $\psi_2$  always hold are accepting. The intuition behind Item 10 is analogous.

Formally, we can show that:

**Theorem 4.** *Let  $\mathcal{P} = (P, \Gamma, \Delta, \#)$  be a PDS,  $f : AP \rightarrow 2^P$  a labelling function,  $\varphi$  a CTL formula, and  $\langle p, \omega \rangle$  a configuration of  $\mathcal{P}$ . Let  $\mathcal{BP}_\varphi$  be the ABPDS computed above. Then,  $(\mathcal{P}, \langle p, \omega \rangle) \models_{\lambda_f} \varphi$  iff  $\mathcal{BP}_\varphi$  has an accepting run from the configuration  $\langle [p, \varphi], \omega \rangle$ .*

It follows from Theorems 3 and 4 that:

**Corollary 1.** *Given a PDS  $\mathcal{P} = (P, \Gamma, \Delta, \#)$ , a labeling function  $f : P \rightarrow 2^{AP}$ , and a CTL formula  $\varphi$ , we can construct an AMA  $\mathcal{A}$  in time  $O(|P|^2 \cdot |\varphi|^3 \cdot (|P| \cdot |\Gamma| + |\Delta|) \cdot |\Gamma| \cdot 2^{5|P||\varphi|})$  such that for every configuration  $\langle p, \omega \rangle$  of  $\mathcal{P}$ ,  $(\mathcal{P}, \langle p, \omega \rangle) \models_{\lambda_f} \varphi$  iff the AMA  $\mathcal{A}$  recognizes the configuration  $\langle [p, \varphi], \omega \rangle$ .*

The complexity follows from the complexity of **Algorithm 1** and the fact that  $\mathcal{BP}_\varphi$  has  $O(|P||\varphi|)$  states and  $O((|P||\Gamma| + |\Delta|)|\varphi|)$  transitions.

## 5 CTL Model-Checking for PushDown Systems with regular valuations

So far, we considered the “standard” model-checking problem for CTL, where the validity of an atomic proposition in a configuration  $c$  depends only on the control state of  $c$ , not

on the stack. In this section, we go further and consider an extension where the set of configurations in which an atomic proposition holds is a regular set of configurations.

Let  $\mathcal{P} = (P, \Gamma, \Delta, \#)$  be a pushdown system,  $c_0$  its initial configuration,  $AP$  a set of atomic propositions,  $\varphi$  a CTL formula, and  $\lambda : AP \rightarrow 2^{P \times \Gamma^*}$  a labelling function such that for every  $a \in AP$ ,  $\lambda(a)$  is a regular set of configurations. We say that  $\lambda$  is a regular labelling. We give in this section an algorithm that checks whether  $(\mathcal{P}, c_0) \models_\lambda \varphi$ . We proceed as previously: Roughly speaking, we compute an ABPDS  $\mathcal{BP}'_\varphi$  such that  $\mathcal{BP}'_\varphi$  recognizes a configuration  $c$  iff  $(\mathcal{P}, c) \models_\lambda \varphi$ . Then  $(\mathcal{P}, c_0)$  satisfies  $\varphi$  iff  $c_0$  is accepted by  $\mathcal{BP}'_\varphi$ . As previously, this can be checked using Theorem 3 and Proposition 1.

For every  $a \in AP$ , since  $\lambda(a)$  is a regular set of configurations, let  $M_a = (Q_a, \Gamma, \delta_a, I_a, F_a)$  be a multi-automaton such that  $L(M_a) = \lambda(a)$ , and  $M_{\neg a} = (Q_{\neg a}, \Gamma, \delta_{\neg a}, I_{\neg a}, F_{\neg a})$  such that  $L(M_{\neg a}) = P \times \Gamma^* \setminus \lambda(a)$  be a multi-automaton that recognizes the complement of  $\lambda(a)$ , i.e., the set of configurations where  $a$  does not hold. Since for every  $a \in AP$  and every control state  $p \in P$ ,  $p$  is an initial state of  $Q_a$  and  $Q_{\neg a}$ ; to distinguish between all these initial states, for every  $a \in AP$ , we will denote in the following the initial state corresponding to  $p$  in  $Q_a$  (resp. in  $Q_{\neg a}$ ) by  $p_a$  (resp.  $p_{\neg a}$ ).

Let  $\mathcal{BP}'_\varphi = (P'', \Gamma, \Delta'', F')$  be the ABPDS defined as follows<sup>2</sup>:  $P'' = P \times cl(\varphi) \cup \bigcup_{a \in AP^+(\varphi)} Q_a \cup \bigcup_{a \in AP^-(\varphi)} Q_{\neg a}$ ;  $F' = P \times cl(\bar{\varphi}) \cup \bigcup_{a \in AP^+(\varphi)} F_a \cup \bigcup_{a \in AP^-(\varphi)} F_{\neg a}$ ; and  $\Delta''$  is the smallest set of transition rules such that for every control location  $p \in P$ , every subformula  $\psi \in cl(\varphi)$ , and every  $\gamma \in \Gamma$ , we have:

1. if  $\psi = a$ ,  $a \in AP$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle p_a, \gamma \rangle \in \Delta''$ ,
2. if  $\psi = \neg a$ ,  $a \in AP$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle p_{\neg a}, \gamma \rangle \in \Delta''$ ,
3. if  $\psi = \psi_1 \wedge \psi_2$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_1], \gamma \rangle \wedge \langle [p, \psi_2], \gamma \rangle \in \Delta''$ ,
4. if  $\psi = \psi_1 \vee \psi_2$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_1], \gamma \rangle \vee \langle [p, \psi_2], \gamma \rangle \in \Delta''$ ,
5. if  $\psi = EX\psi_1$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \bigvee_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} \langle [p', \psi_1], \omega \rangle \in \Delta''$ ,
6. if  $\psi = AX\psi_1$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \bigwedge_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} \langle [p', \psi_1], \omega \rangle \in \Delta''$ ,
7. if  $\psi = E[\psi_1 U \psi_2]$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_2], \gamma \rangle \vee \bigvee_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} (\langle [p, \psi_1], \gamma \rangle \wedge \langle [p', \psi], \omega \rangle) \in \Delta''$ ,
8. if  $\psi = A[\psi_1 U \psi_2]$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_2], \gamma \rangle \vee \bigwedge_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} (\langle [p, \psi_1], \gamma \rangle \wedge \langle [p', \psi], \omega \rangle) \in \Delta''$ ,
9. if  $\psi = E[\psi_1 \bar{U} \psi_2]$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_2], \gamma \rangle \wedge (\langle [p, \psi_1], \gamma \rangle \vee \bigvee_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} \langle [p', \psi], \omega \rangle) \in \Delta''$ ,
10. if  $\psi = A[\psi_1 \bar{U} \psi_2]$ ;  $\langle [p, \psi], \gamma \rangle \hookrightarrow \langle [p, \psi_2], \gamma \rangle \wedge (\langle [p, \psi_1], \gamma \rangle \vee \bigwedge_{\langle p, \gamma \rangle \hookrightarrow \langle p', \omega \rangle \in \Delta} \langle [p', \psi], \omega \rangle) \in \Delta''$ .

Moreover:

11. for every transition  $q_1 \xrightarrow{\gamma} q_2$  in  $(\bigcup_{a \in AP^+(\varphi)} \delta_a) \cup (\bigcup_{a \in AP^-(\varphi)} \delta_{\neg a})$ ;  $\langle q_1, \gamma \rangle \hookrightarrow \langle q_2, \epsilon \rangle \in \Delta''$ ,
12. for every  $q \in (\bigcup_{a \in AP^+(\varphi)} F_a) \cup (\bigcup_{a \in AP^-(\varphi)} F_{\neg a})$ ;  $\langle q, \# \rangle \hookrightarrow \langle q, \# \rangle \in \Delta''$ .

The ABPDS  $\mathcal{BP}'_\varphi$  has an accepting run from  $\langle [p, \psi], \omega \rangle$  if and only if the configuration  $\langle p, \omega \rangle$  satisfies  $\psi$  according to the regular labellings  $M_a$ 's. Let us explain the intuition behind the rules above. Let  $p \in P$ ,  $\psi = a \in AP$ , and  $\omega \in \Gamma^*$ . The ABPDS  $\mathcal{BP}'_\varphi$  should accept  $\langle [p, a], \omega \rangle$ , iff  $\langle p, \omega \rangle \in L(M_a)$ . To check this,  $\mathcal{BP}'_\varphi$  goes to state  $p_a$ , the initial state corresponding to  $p$  in  $M_a$  (Item 1); and then, from this state, it checks whether  $\omega$  is accepted by  $M_a$ . This is ensured by Items 11 and 12. Item 11 allows  $\mathcal{BP}'_\varphi$  to mimic a run of  $M_a$  on  $\omega$ : if  $\mathcal{BP}'_\varphi$  is in state  $q_1$  with  $\gamma$  on top of its stack, and if  $q_1 \xrightarrow{\gamma} q_2$  is a rule in  $\delta_a$ , then  $\mathcal{BP}'_\varphi$  moves to state  $q_2$  while popping  $\gamma$  from the stack. Popping  $\gamma$  allows to check

<sup>2</sup>  $AP^+(\varphi)$  and  $AP^-(\varphi)$  are as defined in Section 2.1.

the rest of the word. The configuration is accepted if the run (with label  $\omega$ ) in  $M_a$  reaches a final state, i.e., if  $\mathcal{BP}'_\varphi$  reaches a state  $q \in F_a$  with an empty stack, i.e., a stack containing only the bottom stack symbol  $\sharp$ . Thus,  $F_a$  is in  $F''$ . Since all the accepting runs of  $\mathcal{BP}'_\varphi$  are infinite, we add a loop on every configuration in control state  $q \in F_a$  and having  $\sharp$  as content of the stack (Item 12).

The intuition behind Item 2 is similar. This item applies for  $\psi$  of the form  $\neg a$ . Items 3–10 are similar to Items 3–10 in the construction underlying Theorem 4. We get:

**Theorem 5.**  $(\mathcal{P}, \langle p, \omega \rangle) \models_\lambda \varphi$  iff  $\mathcal{BP}'_\varphi$  has an accepting run from the configuration  $\langle [p, \varphi], \omega \rangle$ .

From this theorem and Theorem 3, it follows that:

**Corollary 2.** Given a PDS  $\mathcal{P} = (P, \Gamma, \Delta, \sharp)$ , a regular labelling function  $\lambda$ , and a CTL formula  $\varphi$ , we can construct an AMA  $\mathcal{A}$  such that for every configuration  $\langle p, \omega \rangle$  of  $\mathcal{P}$ ,  $(\mathcal{P}, \langle p, \omega \rangle) \models_\lambda \varphi$  iff the AMA  $\mathcal{A}$  recognizes the configuration  $\langle [p, \varphi], \omega \rangle$ . This AMA can be computed in time  $O(|P|^3 \cdot |\Gamma|^2 \cdot |\varphi|^3 \cdot k^2 \cdot |\Delta| \cdot d \cdot 2^{5(|P||\varphi|+k)})$ , where  $k = \sum_{a \in \text{AP}^+(\varphi)} |Q_a| + \sum_{a \in \text{AP}^-(\varphi)} |Q_{\neg a}|$  and  $d = \sum_{a \in \text{AP}^+(\varphi)} |\delta_a| + \sum_{a \in \text{AP}^-(\varphi)} |\delta_{\neg a}|$ .

The complexity follows from the complexity of **Algorithm 1** and the fact that  $\mathcal{BP}'_\varphi$  has  $O(|P||\varphi| + k)$  states and  $O((|P||\Gamma| + |\Delta|)|\varphi| + d)$  transitions.

*Remark 1.* Note that to improve the complexity, we represent the regular valuations  $M_a$ 's using AMAs instead of MAs. This prevents the exponential blow-up when complementing these automata to compute  $M_{\neg a}$ .

## 6 Experiments

We implemented all the algorithms presented in the previous sections in a tool. As far as we know, this is the first tool for CTL model-checking for PDSs. We applied our tool to the verification of sequential programs. Indeed, PDSs are well adapted to model sequential (possibly recursive) programs [10, 13]. We carried out several experiments. We obtained interesting results. In particular, we were able to find bugs in linux drivers. Our results are reported in Figure 3. **Column formula size** gives the size of the formula. **Column time(s)** and **mem(kb)** give the time (in seconds) and memory (in kb). **Column Recu.** gives the number of iterations of *loop*<sub>1</sub>. The last **Column result** gives the result whether the formula is satisfied or not (*Y* is satisfied, otherwise *N*). The first eleven lines of the table describe experiments done to evaluate **Algorithm 1**, that computes the set of configurations from which an ABPDS has an accepting run. The second part of the table describes experiments for “standard” CTL model-checking in which most of the specifications cannot be expressed in LTL. The last part considers CTL model-checking with regular valuations.

**Plotter** controls a plotter that creates random bar graphs [21]. We checked three CTL properties for this example (**Plotter1**, **Plotter2** and **Plotter3**). **ATM** is an automatic teller machine controller. We checked that if the pincode is correct, then the ATM will provide money (**ATM1**), and otherwise, it will set an alarm (**ATM2**). **ATM3** checks that the ATM gives the money only if the pincode is correct, and if it is accessed from the

Examples		$ P  +  \Gamma  +  \Delta $	Formula size	Recu	Time(s)	Mem(kb)	Result
Algorithm 1	1	3+3+4	-	3	0	22.34	Y
	2	17+5+24	-	4	0	33.23	N
	3	73+5+73	-	4	0.02	128.28	Y
	4	75+6+75	-	5	0.02	81.36	N
	5	3+4+4	-	4	0	22.36	N
	6	3+4+5	-	3	0	21.54	Y
	7	3+4+4	-	3	0	20.11	Y
	8	3+4+4	-	4	0	27.40	Y
	9	74+6+76	-	5	0.02	87.54	Y
	10	17+5+24	-	3	0	28.46	Y
	11	18+5+28	-	3	0	26.15	Y
Standard	Plotter.1	1+19+24	2	3	0.02	41.56	Y
	Plotter.2	1+19+24	2	3	0	43.52	N
	Plotter.3	1+19+24	14	9	0.03	241.32	Y
	ATM.1	2+18+45	8	6	0.03	169.64	Y
	ATM.2	2+18+45	10	6	0.03	192.53	Y
	Lock.1	6+37+82	7	11	0.11	387.15	Y
	Lock.2	6+37+82	7	11	0.11	379.46	N
	Lock-err	6+37+82	3	9	0.00	186.52	N
	M-WO.1	1+7+12	6	2	0	40.20	Y
	M-WO.2	1+7+12	6	7	0	37.28	N
	File.1	1+5+9	2	3	0	34.77	Y
	File.2	1+5+9	2	4	0.02	32.51	N
	W.G.C.	16+1+40	23	2	0.05	202.01	Y
	btrfs/file.c	2+14+20	3	10	0	64.32	N
	btrfs/file.c-fixed	2+15+22	3	9	0.02	82.52	Y
	bluetooth	32+12+294	5	8	0.12	821.03	N
	w83627ehf	1+20+20	5	9	0.02	132.76	N
	w83627ehf-fixed	1+21+22	5	4	0.03	121.69	Y
	w83697ehf	1+56+57	6	11	0.35	394.61	Y
	advantech	2+16+31	7	6	0.05	120.41	Y
at91rm9200	4+15+64	7	5	0.06	234.42	N	
at91rm9200-fixed	4+16+67	7	6	0.12	255.62	Y	
at32ap700x	4+25+105	7	8	0.15	356.04	N	
at32ap700x-fixed	4+25+109	7	9	0.22	334.42	Y	
pcf857x	1+98+106	10	18	0.23	541.35	Y	
Regular Valuation	ATM.3	2+18+45	8	6	0.20	352.47	Y
	File.3	1+5+9	5	5	0	33.21	Y
	RSM1	1+8+11	25	4	0.06	438.23	Y
	RSM2	1+8+12	30	4	0.48	1231.45	Y
	RSM3	1+11+17	45	4	12.11	6206.73	Y
	RSM4	1+11+18	45	4	0.72	1269.26	Y
	RSM5	1+11+16	35	4	12.14	6212.2	Y
	ieee1394_core.1	1+104+108	12	14	0.20	413.69	Y
	ieee1394_core.2	1+104+108	13	14	0.19	422.17	Y
	ieee1394_core.3	1+104+108	14	17	0.19	438.42	N
ieee1394_core.4	1+104+109	14	14	0.19	414.27	Y	

Fig. 3. The performance of our tool.

main session. Regular valuations are needed to express this property. **Lock** is a lock-unlock program. We checked different properties that ensure that the program is correct. **Lock-err** is a buggy version of the program. **M-WO** is a Micro-Wave Oven controller. We checked that the oven will stop once it is hot, and that it cannot continue heating forever. **File** is a file management program. **W.G.C.** checks to solve the Wolf, Goat and Cabbage problem. **btrfsfile.c** models the source file *file.c* from the linux btrfs file system. We found a lock error in this file. **Bluetooth** is a simplified model of a Bluetooth driver [20]. We also found an error in this system. **w83627ehf**, **w83697ehf** and **advantech** are watchdog linux drivers. **at91rm9200** and **at32ap700x** are Real Time Clock drivers for linux. **pcf857x** corresponds also to a driver. **IEEE1394** is the IEEE 1394 driver in Linux. As described in Figure 3, we found errors in some of these drivers. We needed regular valuations to express the properties of the IEEE 1394 driver. For example, we needed to check that whenever a function *call\_hpsb\_send\_phy\_config* is invoked, there is a path where *call\_hpsb\_send\_packet* is called before *call\_hpsb\_send\_phy\_config* returns. We need propositions about the stack to express this property. “Standard” CTL is not sufficient. **RSM** are examples written by us to check the efficiency of the regular valuations part of our tool.

## 7 Related Work

Alternating Büchi Pushdown Systems can be seen as non-deterministic Büchi Pushdown Systems over trees. Emptiness of non-deterministic Büchi Pushdown Systems over trees is solved in triple exponential time by Harel and Raz [15]. Our algorithm is less complex. [2] considers the emptiness problem in Alternating Parity Pushdown Automata. The emptiness problem of nondeterministic parity pushdown tree automata is investigated in [16, 3, 4]. ABPDSs can be seen as a subclass of these Automata. For ABPDSs, our algorithm is more general than the ones in these works since it allows to characterize and compute the set of configurations from which the ABPDS has an accepting run, whereas the other algorithms allow only to check emptiness

Model-checking pushdown systems against branching time temporal logics has already been intensively investigated in the literature. Several algorithms have been proposed. Walukiewicz [25] showed that CTL model checking is EXPTIME-complete for PDSs. The complexity of our algorithm matches this bound. CTL corresponds to a fragment of the alternation-free  $\mu$ -calculus and of CTL\*. Model checking full  $\mu$ -calculus for PDSs has been considered in [5, 6, 24, 18]. These algorithms allow only to determine whether a given configuration satisfies the property. They cannot compute the set of all the configurations where the formula holds. As far as CTL is concerned, our algorithm is more general since it allows to compute a finite automaton that characterizes the set of all such configurations. Moreover, the complexity of our algorithm is comparable to the ones of [5, 6, 24, 18] when applied to CTL, it is even better in some cases.

[19, 17] considers the global model-checking  $\mu$ -calculus problem for PDSs, i.e., they compute the set of configurations that satisfy the formula. They reduce this problem to the membership problem in two-way alternating parity tree automata. [17] considers also  $\mu$ -calculus model-checking with regular valuations. These algorithms are more complex, technically more complicated and less intuitive than our procedure. Indeed,

the complexity of [19, 17] is  $(|\varphi| \cdot |P| \cdot |A| \cdot |I|)^{O(|P|+|A|+|\varphi|)^2}$ , whereas our complexity is  $O(|P|^2 \cdot |\varphi|^3 \cdot (|P| \cdot |I| + |A|) \cdot |I| \cdot 2^{5|P||\varphi|})$ .

In [1], Bouajjani et al. consider alternating pushdown systems (without the Büchi accepting condition). They provide an algorithm to compute a finite automaton representing the  $Pre^*$  of a regular set of configurations for these systems. We use this procedure in  $loop_2$  of **Algorithm 1**. [23] showed how to efficiently implement this procedure. We used the ideas in [23] while implementing **Algorithm 1**. In their paper, Bouajjani et al. applied their  $Pre^*$  algorithm to compute the set of PDS configurations that satisfy a given alternation-free  $\mu$ -calculus formula. Their procedure is more complex than ours. It is exponential in  $|P| \cdot |\varphi|^2$  whereas our algorithm is exponential only in  $|P| \cdot |\varphi|$ , where  $|P|$  is the number of states of the PDS and  $|\varphi|$  is the size of the formula.

It is well known that the model-checking problem for  $\mu$ -calculus is polynomially reducible to the problem of solving parity games. Parity games for pushdown systems are considered in [8, 22] and are solved in time exponential in  $(|P||\varphi|)^2$ . As far as CTL model-checking is concerned, our method is simpler, less complex, and more intuitive than these algorithms.

Model checking CTL\* for PDS is 2EXPTIME-complete (in the size of the formula) [2]. Algorithms for model-checking CTL\* specifications for PDSs have been proposed in [14, 12, 11, 2]. [14] considers also CTL\* model checking with regular valuations. When applied to CTL formulas, these algorithms are more complex than our techniques. They are double exponential in the size of the formula and exponential in the size of the system; whereas our procedure is only exponential for both sizes (the formula and the system).

LTL model-checking with regular valuations was considered in [12, 11]. Their algorithm is based on a reduction to the “standard” LTL model-checking problem for PDSs. The reduction is done by performing a kind of product of the PDS with the different regular automata representing the different constraints on the stack. Compared to these algorithms, our techniques for CTL model-checking with regular valuations are direct, in the sense that they do not necessitate to make the product of the PDS with the different automata of the regular constraints.

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